

SRE0001-US



[Signature]
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

KRAEHENBUEHL ET AL.

Serial No.: 09/967,045

Art Unit: 2166

Filed: October 1, 2001

Examiner: Not Yet Assigned

For: ON-LINE REINSURANCE
CAPACITY AUCTION SYSTEM
AND METHOD

SIXTH INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants wish to make of record in the above-identified application the document or documents referenced on the attached Form PTO-1449. A copy of each reference is enclosed herewith.

The undersigned believes that this Sixth Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Accordingly, Applicants do not believe that a fee is due for filing this paper. However, should a first action on the merits have been issued on the same day or before this Sixth Information Disclosure Statement is filed, please accept this Sixth Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 50-1390, under Order No. SRE0001-US and proceed to consider this Sixth Information Disclosure Statement.

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It is respectfully requested that the information be expressly considered during the prosecution of this application, and that each reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This submission does not represent that any referenced document is material or constitutes "prior art." If it should be determined that one or more of the referenced documents constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the reference or references.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over any referenced document, should it be applied against the claims of the present application.

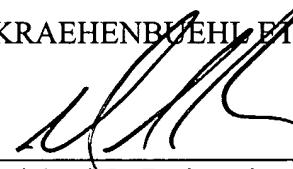
SHAW PITTMAN LLP
1650 Tysons Boulevard
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Tel: (703) 770-7606

Date: November 15, 2004

Respectfully submitted,

KRAEHENBUEHL ET AL.

By:


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MDB/SPA/ge

Document #: 1304465 v.1



28970

PATENT TRADEMARK OFFICE



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number 09/967,045 Filing Date October 1, 2001 First Named Inventor KRAEHENBUEHL ET AL. Art Unit 2166 Examiner Name Not Yet Assigned Attorney Docket Number SRE0001-US	
Sheet	1	of	2

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/967,045
				Filing Date	October 1, 2001
				First Named Inventor	KRAEHENBUEHL ET AL.
				Art Unit	2166
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	SRE0001-US
Sheet	2	of	2		

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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Document #: 1304467 v.1